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In re application of: DANIEL R. KURZ, ET AL.
Entitled: CLOT RETRIEVAL DEVICE
Appln. No. 09/312,931
Filed: May 17, 1999
Date Mailed: June 26, 2001
Client ID/Dkt. No. MICRU:47711
JWP:sn



FULWIDER PATTON LEE & UTECHT, LLP

072391

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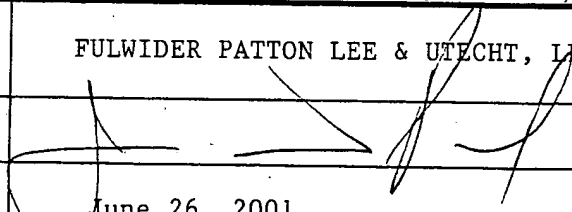
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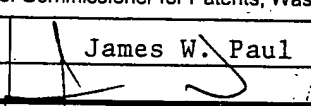
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TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	09/312,931	
	Filing Date	May 17, 1999	
	First Named Inventor	Daniel R. Kurz	
	Group Art Unit	3761	
	Examiner Name	G. Dawson	
Total Number of Pages in This Submission	5	Attorney Docket Number	MICRU:47711

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> SUPPLEMENTAL Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Copies of 14 prior art patents listed Return Postcard
Remarks		
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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	FULWIDER PATTON LEE & UTECHT, LLP
Signature	
Date	June 26, 2001

CERTIFICATE OF MAILING	
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: 06/26/2001	
Typed or printed name	James W. Paul
Signature	
Date	June 26, 2001

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**FEE TRANSMITTAL
for FY 2001**

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 180.00)

Complete if Known

Application Number	09/312,931
Filing Date	May 17, 1999
First Named Inventor	Daniel R. Kurz
Examiner Name	G. Dawson
Group Art Unit	3761
Attorney Docket No.	MICRU:47711

METHOD OF PAYMENT

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- Charge Any Additional Fee Required
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- Under 37 CFR 1.16 and 1.17

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- Applicant claims small entity status.
-
- See 37 CFR 1.27

- 2.
- ☒
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FEE CALCULATION**1. BASIC FILING FEE**

Large Entity Small Entity

Fee Fee Fee Fee Fee Description
Code (\$) Code (\$)

101	710	201	355	Utility filing fee	
106	320	206	160	Design filing fee	
107	490	207	245	Plant filing fee	
108	710	208	355	Reissue filing fee	
114	150	214	75	Provisional filing fee	

Fee Paid

SUBTOTAL (1) (\$)

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
Independent Claims	-20** =	X	
Multiple Dependent	-3** =	X	

Large Entity Small Entity

Fee Fee Fee Fee Fee Description
Code (\$) Code (\$)

103	18	203	9	Claims in excess of 20	
102	80	202	40	Independent claims in excess of 3	
104	270	204	135	Multiple dependent claim, if not paid	
109	80	209	40	** Reissue independent claims over original patent	
110	18	210	9	** Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2) (\$)

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)**3. ADDITIONAL FEES**

Large Entity	Small Entity	Fee Code	Fee Code	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for <i>ex parte</i> reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	390	216	195	Extension for reply within second month	
117	890	217	445	Extension for reply within third month	
118	1,390	218	695	Extension for reply within fourth month	
128	1,890	228	945	Extension for reply within fifth month	
119	310	219	155	Notice of Appeal	
120	310	220	155	Filing a brief in support of an appeal	
121	270	221	135	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,240	241	620	Petition to revive - unintentional	
142	1,240	242	620	Utility issue fee (or reissue)	
143	440	243	220	Design issue fee	
144	600	244	300	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR 1.17(q)	
126	180	126	180	Submission of Information Disclosure Stmt	180
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))	
179	710	279	355	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 180.00)

SUBMITTED BY

Name (Print/Type)

James W. Paul

Registration No.
(Attorney/Agent)

29,967

Complete (if applicable)

Telephone

310-824-5555

Signature

Date

June 26, 2001

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

DANIEL R. KURZ, ET AL.

Serial No. 09/312,931

Filed: May 17, 1999

For: CLOT RETRIEVAL DEVICE

Date: June 26, 2001

Examiner: G. Dawson

Group Art Unit 3761

CERTIFICATE OF MAILING

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COMMISSIONER FOR PATENTS, WASHINGTON D.C. 20231
on June 26, 2001.

James W. Paul, Reg. No. 29,967
Attorney for Applicant

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
Washington, D.C. 20231

Sir:

The information listed on the attached PTO/SB/08A has come to the attention of the applicants and is submitted to the Office under 35 U.S.C. §§ 1.97 and 1.98. Copies of the references listed are enclosed for the Examiner's consideration.

The Examiner is respectfully requested to consider and cite the enclosed references as well as the earlier identified references in the prior Information Disclosure Statements.

Application No. 09/312,931

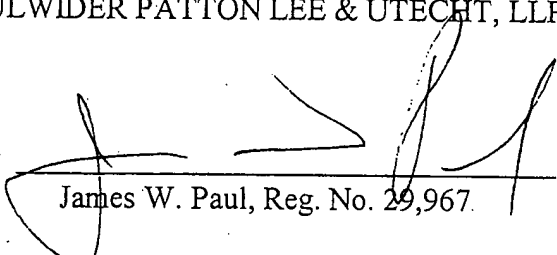
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Our check in the amount of \$180.00 is enclosed for the government filing fee. Please charge any deficiency in the fee or credit any overpayment to our Deposit Account No. 06-2425. A **duplicate** of this document is enclosed.

Respectfully submitted,

FULWIDER PATTON LEE & UTECHT, LLP

By


James W. Paul, Reg. No. 29,967.

JWP:sn

Enc.: Form PTO-SB-08A

Copies of Fourteen (14) Cited Prior Art References

Howard Hughes Center
6060 Center Drive, Tenth Floor
Los Angeles, California 90045
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FAX No. (310) 824-9696

208832.1

Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Complete if Known	
				Application Number	09/312,931
				Filing Date	May 17, 1999
				First Named Inventor	DANIEL R. KURZ, ET AL.
				Group Art Unit	3761
				Examiner Name	G. DAWSON
				Attorney Docket Number	MICRU:47711
Sheet	1	of	1		

[illegible][illegible]

Examiner Signature		Date Considered	
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In re application of: DANIEL R. KURZ, ET AL.
Entitled: CLOT RETRIEVAL DEVICE
Serial No.: 09/312,931
Filed: May 17, 1999
Date Mailed: July 9, 1999
Dkt No.: MICRU-47711
JWP:rlm



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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

DANIEL R. KURZ, ET AL.

Serial No. 09/312,931

Filed: May 17, 1999

For: CLOT RETRIEVAL DEVICE

Date: July 9, 1999

Patent Application Branch
Group Art Unit 3734

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James W. Paul, Reg. No. 29,967
Attorney for Applicant

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

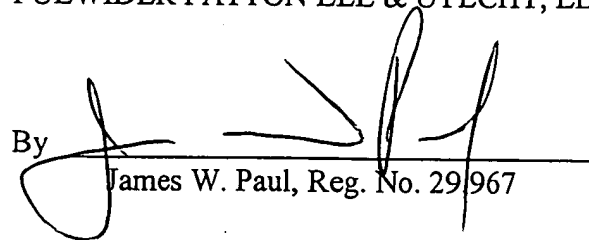
The information listed on the attached PTO/SB/08A has come to the attention of the applicants. Copies of these references are submitted to the Office in accordance with 37 CFR §1.97. Applicants believe that this Information Disclosure Statement has been filed before the mailing date of a first Office Action on the merits in accordance with 37 CFR §1.97(b)(3) and, therefore, no fee is due. However, if a fee is in fact due for this Information Disclosure Statement, the Commissioner

is authorized to charge our Deposit Account No. 06-2425. A **duplicate** copy of this paper is enclosed.

Respectfully submitted,

FULWIDER PATTON LEE & UTECHT, LLP

By



James W. Paul, Reg. No. 29967

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13 Cited Prior Art References

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